

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Application Number		10648760	
	Filing Date		2003-08-25	
	First Named Inventor	Robbert C. Van Der Linden et al.		
	Art Unit	2191		
	Examiner Name	Shelly T. Bell		
	Attorney Docket Number	SVL920030053US1/2864P		

U.S.PATENTS						
Examiner Initial*	Cite No	Patent Number	Kind Code ¹	Issue Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear
/KJ/	1	6947945	B1	2005-09-20	Carey et al.	
	2					

If you wish to add additional U.S. Patent citation information please click the Add button.

U.S.PATENT APPLICATION PUBLICATIONS						
Examiner Initial*	Cite No	Publication Number	Kind Code ¹	Publication Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear
	1					
	2					

If you wish to add additional U.S. Published Application citation information please click the Add button.

FOREIGN PATENT DOCUMENTS								
Examiner Initial*	Cite No	Foreign Document Number ³	Country Code ² j	Kind Code ⁴	Publication Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear	T ⁵
	1							<input type="checkbox"/>

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
(Not for submission under 37 CFR 1.99)

Application Number	10648760
Filing Date	2003-08-25
First Named Inventor	Robbert C. Van Der Linden et al.
Art Unit	2191
Examiner Name	Shelly T. Bell
Attorney Docket Number	SVL920030053US1/2864P

If you wish to add additional Foreign Patent Document citation information please click the Add button

NON-PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published.	T ⁵
/KJ/	1	YOU-CHIN FUH et al., "Annotated Automation Encoding of XML Schema for High Performance Schema Validation," Filed April 16, 2003, Application Number 10/418,658, pp. 1-61.	<input type="checkbox"/>

If you wish to add additional non-patent literature document citation information please click the Add button

EXAMINER SIGNATURE

Examiner Signature	/Kabir Jahangir/	Date Considered	05/15/2007
--------------------	------------------	-----------------	------------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ See Kind Codes of USPTO Patent Documents at www.USPTO.GOV or MPEP 901.04. ² Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). ³ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁴ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁵ Applicant is to place a check mark here if English language translation is attached.

FORM PTO-1449 (Modified) LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)	ATTY. DOCKET NO. SVL920030053US1/2864P	SERIAL NO. 10/648,760
	APPLICANT: Robert C. VAN der LINDEN and Brian S. VICKERY	
	FILING DATE: August 25, 2003	GROUP: To Be Assigned



REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAMINER INITIALS		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPRO.)

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation

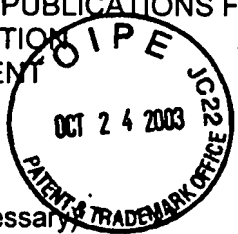
OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

/KJ/		Kanne, Carl-Christian et al., "Efficient Storage of XML Data," Universität Mannheim, Germany, June 16, 1999, pp. 1-20.

EXAMINER	/Kabir Jahangir/	DATE CONSIDERED	05/15/2007
----------	------------------	-----------------	------------

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449 (Modified) LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)	ATTY. DOCKET NO. SVL920030053US1/2864P	SERIAL NO. 10/648,760
	APPLICANT: Robbert C. VAN der LINDEN and Brian S. VICKERY	
	FILING DATE: August 25, 2003	GROUP: To Be Assigned



REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAMINER INITIALS	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPRO.)
/KJ/	6 4 8 7 5 6 6	11/26/02	Sundaresan	707	513	
/KJ/	6 4 8 0 8 6 5	11/12/02	Lee et al.	707	523	
/KJ/	6 5 0 2 1 0 1	12/31/02	Verprauskus et al.	707	101	
/KJ/	6 5 1 9 5 9 7	02/11/03	Cheng et al.	707	10	
/KJ/	6 4 2 1 6 5 6	07/16/02	Cheng et al.	707	2	
/KJ/	6 3 6 6 9 3 4	04/02/02	Cheng et al.	707	513	

FOREIGN PATENT DOCUMENTS

DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation

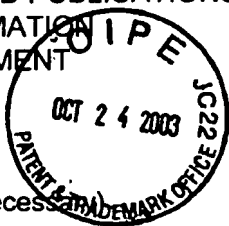
OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

/KJ/	"Using an XML Query Language to Publish Relational Data as XML," Dossier - ARC919990247, pp. 1-37.
/KJ/	"Annotated Automation Encoding of XML Schema for High Performance Schema Validation," Dossier - SVL920020102, pp. 1-61.

EXAMINER	/Kabir Jahangir/	DATE CONSIDERED	05/15/2007
----------	------------------	-----------------	------------

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449 (Modified) LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)	ATTY. DOCKET NO. SVL920030053US1/2864P	SERIAL NO. 10/648,760
	APPLICANT: Robbert C. VAN der LINDEN and Brian S. VICKERY	
	FILING DATE: August 25, 2003	GROUP: To Be Assigned



REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAMINER INITIALS	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPRO.)
/KJ/	US 2002/0169788	11/14/02	Lee et al.	707	104.0	
/KJ/	US 2002/0111965	08/15/02	Kutter	707	513	
/KJ/	US 2002/0038319	03/28/02	Yahagi	707	513	
/KJ/	US 2002/0112224	08/15/02	Cox	717	100	
/KJ/	US 2002/0156811	10/24/02	Krupa	707	513	
/KJ/	US 2002/0099715	07/25/02	Jahnke et al.	707	100	

FOREIGN PATENT DOCUMENTS

DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation

OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

EXAMINER

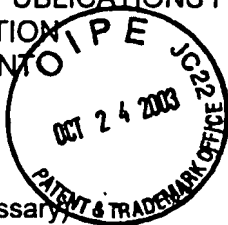
/Kabir Jahangir/

DATE CONSIDERED

05/15/2007

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449 (Modified) LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)	ATTY. DOCKET NO. SVL920030053US1/2864P	SERIAL NO. 10/648,760
	APPLICANT: Robbert C. VAN der LINDEN and Brian S. VICKERY	
	FILING DATE: August 25, 2003	GROUP: To Be Assigned



REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAMINER INITIALS	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPRO.)
/KJ/	US 2003/0014397	01/16/03	Chau et al.	707	3	
/KJ/	US 2002/0156772	10/24/02	Chau et al.	707	3	
/KJ/	US 2002/0133484	09/19/02	Chau et al.	707	3	
/KJ/	US 2002/0123993	09/05/02	Chau et al.	707	5	
/KJ/	US 2002/0103829	08/01/02	Manning et al.	707	513	

FOREIGN PATENT DOCUMENTS

DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation

OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

EXAMINER	/Kabir Jahangir/	DATE CONSIDERED 05/15/2007
----------	------------------	-------------------------------

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.